

Tracing regime influence on urban community gardening: how resource dependence causes barriers to garden longer term sustainability

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Abstract

This article explores how resource dependence leads to barriers to urban community gardening. Nine barriers to urban gardening were identified: finance, space, organizational structure, water, external damage, soil, communication, interpersonal issues, and participation issues. Using process tracing and grounded theory, we found that these barriers could be divided into three groups: primary, secondary, and participation barriers. Primary barriers are caused and directly influenced by the regime, whereas secondary barriers are the result of decisions and actions taken while addressing the primary barriers. All of these barriers cause frustration and affects the ability of the gardens to retain and acquire new members. This affects the longer term sustainability of the gardens and their potential to contribute to an urban governance transition.

Keywords: urban gardening, community gardens, transitions, resource dependence

1. Introduction: urban community gardens and transitions

Urban community gardens (UCG) are increasingly populating our urban spaces [Stiftungsgemeinschaft anstiftung ertomis (2016)]. They have positive impacts such as community development [Peters (2008)], building social capital [Alaimo et al. (2008)], providing space for physical activity [Saldivar-Tanaka and Krasny (2004)], contributing to healthy body weights [Zick et al. (2013)], providing urban residents contact with nature [Maller et al. (2006)], as well as economic benefits [Draper and Freedman (2010)]. Moreover, UCGs provide habitats for a variety of plants and animals and fulfill important climatic functions in urban areas as they mitigate the urban heat island effect

11 [Dihlmann (2003); Crossan et al. (2015); Bauduceau et al. (2015)]. The
12 three case studies in this analysis come from Berlin which has been called
13 “the German capital of community gardens” [Meyer-Renschhausen (2010)]
14 and the number of UCGs in the city has blossomed since the early 2000’s
15 [Stadtacker (2016)]. The government of Berlin has recognized the importance
16 of urban gardening in both the guidelines for sustainable development [Sen-
17 atsverwaltung für Stadtentwicklung und Umwelt (2016a); Senatsverwaltung
18 für Stadtentwicklung und Umwelt (2016b)] and their development plan for
19 climate [Senatsverwaltung für Stadtentwicklung (2011)].

20 Academics have discussed the rise of UCGs in terms of transitions in
21 urban governance and the urban landscape [Bell and Cerulli (2012)]. This
22 is because UCGs are community-based grassroots initiatives and such ini-
23 tiatives have the potential to spark transitions [Seyfang and Smith (2007);
24 Seyfang (2010); Middlemiss and Parrish (2010); Smith et al. (2013); Seyfang
25 and Haxeltine (2012); Hargreaves et al. (2013); Becker (2017)]. UCGs are
26 usually social gardens in that they are participatory and focused on the com-
27 munity [Müller (2011)]. They are spaces where new ideas and alternative
28 practices can be explored and improved upon [Kemp et al. (1998); Geels and
29 Schot (2007); Markard and Truffer (2008)]. Such a transition would ask the
30 UCGs to change the regime, the dominant rules and norms that are enforced
31 by regime actors. Regime actors are actors that, whether purposefully or
32 not, reinforce and protect that regime.

33 However, despite the positive impacts of UCGs, their growth in num-
34 bers, the recognition of the importance of UCGs, and academic literature
35 discussing their potential to facilitate transitions, a transition has not yet
36 been achieved. Thus, in this article we ask the overall research question:
37 what are the barriers to urban community gardening and how do these bar-
38 riers prevent an urban gardening transition? To answer this overall research
39 question, several subquestions will be answered:

- 40 1. What are the barriers to urban community gardening?
- 41 2. What are the causes and effects of these barriers?
- 42 3. How do the barriers threaten an urban community garden’s longer term
43 sustainability?
- 44 4. What can be done to alleviate or reduce these barriers and what role
45 do they play in preventing a transition in how we use urban spaces?

46 Thus to answer these questions, we will first review the multi-level per-
47 spective and resource dependence theory [Pfeffer and Salancik (2003)]. Sec-

48 on only, we will describe the selection of case studies as well as the grounded
49 theory [Glaser and Strauss (2009); Strauss (1987); Strauss et al. (1996)] and
50 process tracing [Beach and Pedersen (2013)] methods used. In section 4, the
51 results will be presented including the primary barriers, secondary barriers,
52 and participation barriers. Lastly, we will explore the implications of the
53 findings for transitions as well as how to alleviate some of the barriers in
54 section 5.

55 **2. Transitions and resource dependence**

56 We employ the framework the multi-level perspective [Geels (2002); Geels
57 and Schot (2007); Geels (2004)] to understand the position of UCGs in an ur-
58 ban gardening transition and the relationship between UCGs and the regime.
59 The multi-level perspective is a framework for understanding socio-technical
60 transitions [Geels (2002); Geels and Schot (2007)]. It consists of three levels
61 of increasing structuration [Geels (2004); Verbong and Geels (2007)] and in-
62 stitutionalization [Fuenfschilling and Truffer (2014)]: the niche, the regime,
63 and the landscape. An urban community garden is a niche, a site of inno-
64 vation and alternative practices somewhat protected from the regime [Kemp
65 et al. (1998); Geels and Schot (2007); Markard and Truffer (2008)]. In being
66 a niche the UCG does the work of insitutionalizing and supporting their vi-
67 sion of a change [Becker (2017) and Becker et al. (2017)]. A regime is "... a
68 coherent configuration of technological, institutional, economic, social, cogni-
69 tive and physical elements and actors with individual goals beliefs or values"
70 [Holtz et al. (2008)] and consists of the municipalities, developers, funders,
71 and other actors who enforce and reproduce the status quo. The landscape is
72 the cultural, environmental, and historic backdrop in which both the regime
73 and niche operate [Grin et al. (2010); Rotmans et al. (2001)]. In order for
74 a transition to occur it is the niche that must replace or become a part of
75 the regime [Geels and Schot (2007)] through, for example, changing rules or
76 practices. Such a transition could have both positive and negative aspects.

77 Niches such as UCGs sometimes rely on regimes for various resources,
78 which allows the regimes to shape the niches [Becker et al. (2017)]. Accord-
79 ing to resource dependence theory, a theory on organization interdependency,
80 UCGs dependent on the regimes will use the least restrictive method of min-
81 imizing their dependence, attempt to reduce uncertainty, and attempt to
82 increase their independence [Pfeffer and Salancik (2003); Davis and Cobb
83 (2010)]. Resource dependence theory will be used in this article to explain

84 how regimes are able to influence the UCGs and create barriers within the
 85 gardens.

86 **3. Methods**

87 To answer our research questions we used grounded theory [Glaser and
 88 Strauss (2009); Strauss (1987); Strauss et al. (1996)] and process tracing
 89 [Beach and Pedersen (2013)] on semi-structured interviews from three case
 90 study UCGs. Pseudonyms were chosen for the UCGs to maintain the anonymity
 91 of interviewees and gardens: Neighborhood Garden, Public Park Garden, and
 92 Social Enterprise Garden (table 3). The case study gardens were selected
 93 from Districts that did not border with Brandenburg (the rural state that
 94 surrounds Berlin) to ensure that the gardens were urban. All of the gardens
 95 had to be established in or before 2013. Gardens were specifically selected
 96 that had different organizational structures and were willing to participate
 97 in the interviews. The gardens range in size from around 1200m² to 1850m²
 98 and range in age from 3 to 12 years since their founding.

Table 1: Designated name and description of each case study.

Pseudonyms	Description of the garden
Neighborhood Garden	Between 10 to 20 members. The object of this garden is to offer a place for neighbors to grow vegetables, fruit, herbs, and flowers. The garden has a loose organizational structure and its history has been marked by a struggle to find a suitable space for the garden.
Public Park Garden	Around 200 members. The goal of this garden is the cultivation of raised beds by neighbors and offering education on organic gardening. The garden offers workshops and cooperates with preschools, schools, and other institutions. Its organizational structure is stricter than the Neighborhood garden and its history has been one of growth in participant numbers.
Social Enterprise Garden	Around 100 members. The aim of this garden is to positively impact the surrounding neighborhood. The garden has the strictest organizational structure and attempts to raise money through some of its activities.

100 The eleven semi-structured interviews (table 2) conducted with interviewees from the gardens consisted of questions on the barriers faced by the
 101 gardens, how they tried to overcome the barriers, and who helped them in
 102 overcoming the barriers. The interviews were recorded and transcribed in
 103 German. Additionally, field notes were taken during and immediately after
 104 seven participant observations and further questions were answered by
 105 interviewees in three email conversations (table 2). All interviews and observations
 106 were conducted between July 2015 and April 2016. All interviewees
 107 gave informed consent before the interviews were conducted.
 108

Table 2: Designated name and description of each case study.

Garden	Name	Role	Description
Neighborhood Garden	Interview 1	Garden treasurer	Interview
Neighborhood Garden	Fieldnotes 1		Fieldnotes
Neighborhood Garden	Interview 2	Runs website and public relations	Interview
Neighborhood Garden	Fieldnotes 2		Fieldnotes
Neighborhood Garden	Interview 3	Administration and public relations	Interview
Neighborhood Garden	Fieldnotes 3		Fieldnotes
Neighborhood Garden	Fieldnotes 4		Fieldnotes
Neighborhood Garden	Fieldnotes 5		Fieldnotes
Neighborhood Garden	Fieldnotes 6		Fieldnotes
Neighborhood Garden	Fieldnotes 7		Email conversation
Public Park Garden	Interview 4	Garden member	Interview

Public Garden	Park	Fieldnotes 8		Fieldnotes
Public Garden	Park	Interview 5	Member, public relations, and administration	Interview
Public Garden	Park	Fieldnotes 9		Fieldnotes
Public Garden	Park	Interview 6	Garden board member	Interview
Public Garden	Park	Fieldnotes 10		Fieldnotes
Public Garden	Park	Interview 7	Member and in charge of water supply and composting	Interview
Public Garden	Park	Fieldnotes 11		Fieldnotes
Public Garden	Park	Fieldnotes 12		Fieldnotes
Public Garden	Park	Fieldnotes 13		Fieldnotes
Social Enterprise Garden	Enterprise	Interview 8	Executive director	Interview
Social Enterprise Garden	Enterprise	Fieldnotes 14		Fieldnotes
Social Enterprise Garden	Enterprise	Interview 9	Organizing events and running the cafe	Interview
Social Enterprise Garden	Enterprise	Fieldnotes 15		Fieldnotes
Social Enterprise Garden	Enterprise	Interview 10	Head gardener	Interview
Social Enterprise Garden	Enterprise	Fieldnotes 16		Fieldnotes
Social Enterprise Garden	Enterprise	Interview 11	Garden board member in charge of networking	Interview
Social Enterprise Garden	Enterprise	Fieldnotes 17		Fieldnotes

Social Enterprise Garden	Fieldnotes 18		Fieldnotes
Social Enterprise Garden	Fieldnotes 19		Fieldnotes
Social Enterprise Garden	Fieldnotes 20		Email Conversation
Social Enterprise Garden	Fieldnotes 21		Email Conversation

109

110 Grounded theory [Glaser and Strauss (2009); Strauss (1987); Strauss et al.
 111 (1996)] was done on the interview transcriptions and field notes using QDA
 112 Miner Lite 4 [Provalis Research (2016)]. Memos were written throughout
 113 the analysis. Barriers to gardening and attempted solutions were identified
 114 by the interviewees. Moreover, process tracing was also done on the data to
 115 better understand the causes of the barriers. Process tracing is a method
 116 of following the data between cause and effect [Collier (2011)] including the
 117 mechanisms that move the process along between the variables [Beach and
 118 Pedersen (2013)]. In this article, process tracing is used most prominently
 119 in figure 1 and allows us to analyze how one barrier causes another and the
 120 mechanism through which this occurs.

121 **4. Examining and tracing the barriers to garden longer term sus-**
 122 **tainability**

123 Through using the methods described above, we located nine barriers
 124 to urban gardening (listed in table 3) and traced the causes and effects of
 125 the barriers (figure 1). Based on analyzing the data, the barriers were di-
 126 vided in three groups: primary barriers, secondary barriers, and participation
 127 barriers. These groups and individual barriers are further described in the
 128 subsections of this article.

129 Table 3 lists the barriers described by the interviewees along with the
 130 gardens affected, as well as efforts to solve or mitigate the barriers by differ-
 131 ent actors. These actors include members of the gardens, other grassroots or
 132 community-based initiatives, and regime actors. The initiatives can include

133 local organizations within the garden's network such as other community gar-
134 dens or neighborhood non-profits whereas the regime actors include govern-
135 ment officials and larger organizations such as national organizations. What
136 is clear from table 3 is that for some of the barriers the gardens received more
137 external assistance than for others. For the finance, space, and organizational
138 structure barriers (the primary barriers) there was significant external sup-
139 port from both other community groups and regime actors. The secondary
140 barriers were more mixed with the soil and water barriers receiving direct
141 inputs specifically from regime actors, while the other secondary barriers
142 (externally-caused damage, communication, and interpersonal issues) were
143 mostly handled internally by the gardens. The gardens also had support in
144 dealing with the participation barriers; however, this assistance was more an
145 attempt at mitigating the barrier than direct help. This was because the ex-
146 ternal actors helped the gardens through supporting them in acquiring new
147 members rather than through helping the garden retain existing members.

Table 3: Description of barriers to UCGs identified by interviewees as well as affected gardens and attempted solutions

Barriers to urban community gardening identified by interviewees	Description	Gardens affected	Attempted solutions by gardens	Attempted solutions by other grass-roots and community-based initiatives	Attempted solutions by regime actors
Finances	Difficulty acquiring the means to pay for gardening activities	All three gardens, although particularly the Social Enterprise Garden	<ul style="list-style-type: none"> - Asked for donations - Members invested personal money - Affiliation fees - Raised money through gardening activities - Took on jobs from companies 	<ul style="list-style-type: none"> - Passed on jobs to the garden 	<ul style="list-style-type: none"> - Did not ask for rent - Donations

Space	Difficulty finding a location or uncertainty about the ability to stay at its location	All three gardens	<ul style="list-style-type: none"> - Raised awareness through demonstrations and flyers - Lobbied for a space - Networked - Contacted decision makers - Applied for space 	<ul style="list-style-type: none"> - Helped them move - Supported them during workshops - Argued against construction planned for their space 	<ul style="list-style-type: none"> - Provide them with space - Press coverage of their eviction - Connected garden to other regime actors that could provide space
Organizational structure	The difficulty of having and working with a particular organizational structure	All three gardens	<ul style="list-style-type: none"> - Core group met for plenums - Distributed tasks among members/specialized groups - Organized regular meetings - Reduced unnecessary tasks - Improved communication 	<ul style="list-style-type: none"> - Another niche actor took over as their legal form - Another garden advised from the beginning - Offered meeting space and offices 	<ul style="list-style-type: none"> - Offered meeting space and offices

Obtaining water	Difficulty acquiring enough water or uncertainty over enough water for the garden	The Neighborhood Garden and the Public Park Garden	<ul style="list-style-type: none"> - Connected to a nearby water pump - Managed water communally - Paid for water - Purchased water tanks - Paid for permanent water connection 	<ul style="list-style-type: none"> - Provided water during certain times of the year/for a particular number of years - Organized water point - Provided funding for water connection
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Externally-caused damage	Includes damage done to the garden by individuals external to the garden including theft, vandalism, garbage, and damage caused by dogs and their owners	The Neighborhood Garden and the Public Park Garden. The Social Enterprise Garden was only slightly affected	<ul style="list-style-type: none"> - Used locks on shed - Repaired items - Stored items in fenced area - Improved infrastructure - Communicated with potential perpetrators in person and through information boards - Communicated problems with District office - Had opening hours - Had a fence - Raised beds - Installed additional trash cans 		<ul style="list-style-type: none"> - Provided fenced area - Cleaned once a year
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Soil	Having to work with or manage alternatives to poor quality or non-existent soil	All three gardens	<ul style="list-style-type: none"> - Internally communi-cated - Added and organized enrichment of soil - Used raised beds - Purchased soil - Developed compost - Individual gardeners enriched their soil 	<ul style="list-style-type: none"> - Provided information on where to get soil 	<ul style="list-style-type: none"> - Provided with nutrients/ compost - Provided funding
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Communication	Difficulty delivering information to members and partners	All three gardens	<ul style="list-style-type: none"> - Plenums and working group meetings - Emails - Updated website - Introduced communication rules at meetings - Weekly newsletter - Organized workshops on communication - Major focus of the management - Instructions had to be confirmed via email - Hierarchy - Simplified the number of means of communication - Hired a mediator - Found someone to focus on this problem (intern) 	<ul style="list-style-type: none"> - Prepared a workshop on communication 	
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Interpersonal Issues	Inability or difficulty managing and incorporating people with mental health conditions or people with different views of the garden	The Neighborhood and Public Park Garden	<ul style="list-style-type: none"> - Internal communication - Kept record of participants - Expelled certain members - Conducted interviews and work trials - Put up a fence - Hired a mediator 		
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Participants	The barrier of participants includes issues of both retaining the members that the gardens have and acquiring new members to replace those members that have left	All three gardens, although the Public Park Garden did not have difficulty acquiring new members	<ul style="list-style-type: none"> - Updated and promoted via website, workshops, and other events - Had someone present in the garden - Networked - Informed new members of time commitment - Specialized work groups for the issue - Distributed workload - Employed people when possible 	<ul style="list-style-type: none"> - Shared experience on how to get people involved - Used network to promote garden 	<ul style="list-style-type: none"> - Sponsors connected them to other grassroots initiatives - Promoted gardens
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148 Figure 1 shows the causal chain of the regime influence on the urban
149 community gardens and the gardens' longer term sustainability. The regime
150 begins the causal chain and the numbers next to the lines with arrows are
151 mechanisms that move the process of causation forward [Beach and Pedersen
152 (2013)]. The regime can be either active or passive in starting this process.
153 An index of mechanisms is listed on the right of the figure. Barriers to gar-
154 dening are variables that arise between the regime and the ultimate effect,
155 the participation barriers. However, figure 1 does not show all of the rela-
156 tionships between the barriers, specifically how the presence of some barriers
157 can make other barriers worse, for example, the cost of water can exacerbate

158 the existing financial barrier. This is because the financial barrier pre-existed
159 the water barrier and thus cannot be said to have caused the financial bar-
160 rier. Moreover, addressing some barriers will help mitigate other barriers.
161 However, figure 1 focuses solely on the cause and effect relationship between
162 the barriers. Other relationships between these obstacles are mentioned in
163 their descriptions that follow.

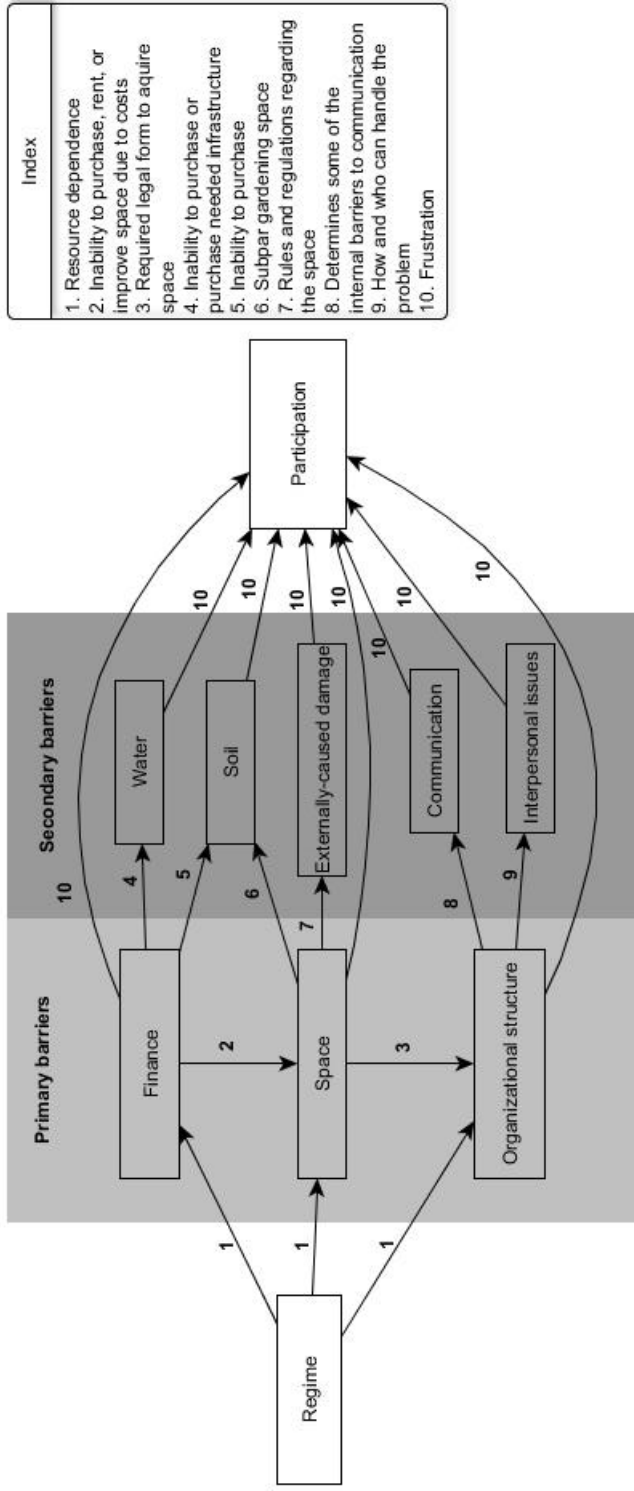


Figure 1: Process tracing the process of how the barriers in urban gardening influence garden longer term sustainability

164 *Primary barriers*

165 Primary barriers arise early in the history of the gardens and are de-
166 fined here as those barriers that are the result of and heavily influenced by
167 the regime. The primary barriers are space, financing, and organizational
168 structure. The regime is able to influence the gardens and cause these pri-
169 mary barriers because the gardens must rely upon the regime for resources.
170 This is particularly seen in the space and organizational structure barriers
171 as the gardens were somewhat effective in reducing their dependence for the
172 financial barrier.

173 Firstly, finances influence the ability of the gardens to gather resources
174 and conduct tasks related to their work that require money such as acquir-
175 ing tools for the garden or holding certain events. For the finance barrier,
176 the UCGs were mostly successful in reducing the resource dependence on
177 the regime and after some initial donations and assistance in set up, the
178 Neighborhood Garden and Public Park Garden were able to largely operate
179 without funding from the regime. The Social Enterprise Garden, however,
180 was particularly concerned with finances as it had fixed ongoing costs and
181 aimed to host many events, and continued to rely on the regime for funding.
182 As one interviewee explained, “Another problem or challenge is certainly fi-
183 nances, especially our project that is to be understood as a social enterprise.
184 We have an idealistic mission, but at the same time we want to be economic”
185 (Interview 11). The garden attempted to raise money through some of its
186 activities, although some of its streams of income can be inconsistent. The
187 pressure to produce income to meet fixed costs led to overwork and a focus
188 on finances. This was to the detriment of other activities within the garden
189 causing some members to leave. All three gardens undertook activities to
190 improve their financial situation of which most did not involve the regime,
191 such as asking for donations, charging membership fees, charging rent for gar-
192 den beds, receiving money from external stakeholders, and selling produce.
193 Consequently, the Neighborhood Garden and Public Park Garden had few
194 ongoing costs and thus felt they were able to meet their needs through avail-
195 able means of financing. Conversely, the members of the Social Enterprise
196 Garden also felt the barrier was overcome, however the garden still constantly
197 worked to address finances as they attempted to earn enough money to pay
198 for their activities. As one interviewee described, “A big problem is always
199 finances, especially under the heading of self-sufficiency” (Interview 8).

200 The issues of finding a space and finance are closely related. In fact two
201 of the gardens, the Neighborhood Garden and the Social Enterprise Garden,

202 had to move location because they could either not afford to purchase the
203 space or afford to improve it. As one interviewee described, “A big problem
204 in the beginning was the relocation because of the fire protection measures.
205 That was connected to financing as we could not afford it [the measures]”
206 (Interview 8). In general all three gardens have faced difficulties in acquiring
207 and keeping space for their garden. The barrier of space includes the broader
208 lack of available green space as a result of the historic landscape in Berlin
209 and the current regime pressure to develop current brownfield locations and
210 available green spaces which often are the types of locations that host UCGs.
211 This low level of available space and pressure on the space makes it difficult
212 for gardens to find adequate locations. Thus, finding space for a garden can
213 take a great deal of work including raising awareness, networking, presen-
214 tations, and lobbying officials. Moreover, at some point all three gardens
215 had to rely on local government and all three gardens at the time of the
216 interviews resided on property that was owned by a department of the local
217 government. Moreover, the different spaces came with different constraints
218 that led to secondary barriers. Their reliance upon the regime for space led
219 them to taking poor quality space with these constraints which can be coun-
220 terproductive to the longer term sustainability of the garden. Chief among
221 these constraints was uncertainty over being able to keep the space which
222 negatively affected the gardens. The Public Park Garden, for example, did
223 not have a contract for their space; meanwhile the Social Enterprise Garden
224 was located on a site that was allocated for development. As one interviewee
225 explained: “[w]e don’t have a lease contract... [a]nd that also means that
226 this area itself is legally not documented. That also means that it is an area
227 that is still under negotiation.” (Interview 5).

228 Thirdly, the organizational structure of the gardens and the difficulty
229 in balancing the need for structure with democratic participation affected
230 all three gardens. It is influenced by the regime through the gardens re-
231 liance on the regime for the resource of space. All three gardens had to
232 have a legal form of organization in order to cooperate with the local mu-
233 nicipality for their space. The process of applying for and maintaining a
234 legal form of organization subjects community-based organizations such as
235 UCGs to requirements and pressures to conform to certain organizational
236 structures [Becker et al. (2017)]. The decision of which legal form of orga-
237 nization to take influenced the organizational structure of all three gardens:
238 the Neighborhood Garden’s decision to belong to a larger umbrella organi-
239 zation allowed it to maintain a looser and more democratic organizational

240 structure whereas both the Public Park Garden and the Social Enterprise
241 Garden had legal forms that required stricter organizational structures. For
242 the Neighborhood Garden, however, the looser structure made it difficult for
243 the garden to require members attend meetings and thus the garden relied
244 on a small group of active members to organize. This led to communication
245 problems and risks the longer term sustainability of the garden if members
246 of the small organizational team leave. The Social Enterprise Garden had
247 the strictest required structure and it therefore had to fill certain positions
248 within their ranks. This led to difficulties in maintaining direct democratic
249 decision-making structures. Meanwhile the Public Park Garden had experi-
250 enced both a loose structure in its early days when too little organization led
251 to mismanaged garden beds and people joining without applying, and a more
252 strict structure which led interviewees to comment that too much manage-
253 ment can drive members away. One interviewee described the problem “The
254 wish and aspiration to work communally is usually quite big, but if there are
255 certain positions to fill there are not too many people who are willing to take
256 it on.” (Interview 6). The organizational structures of community-based
257 initiatives such as UCGs have repercussions for communication, a secondary
258 barrier, and the spread of new ideas within the initiative (Hausmann and
259 Becker (2017)).

260 *Secondary barriers*

261 The secondary barriers are those barriers that are caused as a result of the
262 primary barriers and decisions made while addressing those barriers. This
263 could be seen in the secondary barriers water and soil where as a result of
264 the decision to address the primary barrier of finance through raising funds
265 through their gardening activities, the Social Enterprise Garden was able to
266 overcome these secondary barriers. These barriers are less directly influenced
267 by the regime; however, regime actors do play a role in mitigating some of
268 the barriers.

269 Firstly, two of the gardens (Neighborhood and Public Park Garden) experi-
270 enced a barrier around the acquisition of water. This problem of accessing
271 water resulted from issues of finance, as one interviewee described, “[T]he
272 provision of water is difficult as the costs for it increased. This puts more
273 pressure on our [garden]” (Interview 4). The Neighborhood Garden relied
274 on the District for water. The District turned off the water every winter
275 and did not turn it back on until June the following year. This led to issues
276 with watering plants in the springtime. However, the garden was afraid to

277 approach the District to turn the water on earlier because they were afraid
278 the District would ask them to pay for the water. The Public Park Garden
279 initially used a nearby water spot illegally before a state owned company
280 secured water for them. However, the garden had to pay for this new water
281 source, a financial burden that the garden was not able to fully meet, leaving
282 parts of the garden unwatered during hot summers. “Then there was the
283 aspect of the water supply. We could no longer get it [for free] from the
284 fire hydrant, but instead had to organize hoses. That was also very expen-
285 sive for the garden.” (Interview 4). Ultimately all three gardens relied on
286 the regime to secure the water resource for them: the Neighborhood Garden
287 through the District-provided water, the Public Park Garden through the
288 state-owned company, and the Social Enterprise Garden through applying
289 for and receiving funding.

290 One of the barriers to urban community gardening that resulted from
291 gardens having to accept lower quality spaces was that all three gardens had
292 poor quality soil at some point and two of these gardens were still actively
293 dealing with this barrier at the time of the interviews (Neighborhood Gar-
294 den and Public Park Garden). Problems with the soil included sandy soil,
295 unfertile soil, contaminated soil, and working in locations without readily
296 available soil. As one interviewee described, “The soil on the property is not
297 very fertile. Berlin used to be swamp land and the soil is pretty dry and
298 sandy.” (Interview 2). This barrier was exacerbated by communication and
299 management issues as gardens attempted to cope with this barrier through
300 enriching or bringing in soil. For example, some gardeners were not told they
301 needed to enrich the soil in the Neighborhood Garden. Moreover, the Public
302 Park Garden failed to acquire enough good quality soil for all of its garden-
303 ers. However, the garden had to put off purchasing new soil until there was
304 certainty on whether they could stay in their location due to the costs of
305 acquiring the soil. The Social Enterprise Garden was able to use investment
306 money put into the garden to purchase good quality soil.

307 All of the case study gardens had experienced barriers to gardening re-
308 garding damage to the gardens caused by external individuals. This barrier
309 was the result of poor quality space that had constraints that impact neg-
310 atively the gardening projects. Particularly the Neighborhood Garden and
311 the Public Park Garden resided on spaces that did not allow them to erect
312 fences around their gardens. This was because they were on public spaces
313 and the municipality required them to be open to the public to visit at all
314 times. For example, one interviewee explained, “as a public garden we also

315 have the problem that there are people in the garden during the evening and
316 night who do not behave in favor of the project” (Interview 7). The Social
317 Enterprise Garden, however, was able to avoid this type of damage because
318 they have been permitted to build a fence around their garden. The damage
319 done to the gardens falls into four categories: theft, vandalism, damage done
320 by dogs, and litter. Theft was reported by all three gardens and items that
321 the interviewees reported stolen include: their harvest, plants, and materials.
322 Vandalism was a problem for both the Neighborhood and Public Park Gar-
323 den including damage done to furniture, plants, gardening materials, water
324 tanks, sheds, as well as individuals urinating and graffiti. Such damage made
325 it difficult for the gardens to conduct their day to day business. For example,
326 damage done to the chairs in the Public Park Garden made it difficult for the
327 group to sit together for workshops. These issues also affected the gardens
328 financially if items had to be replaced or rebuilt. Many items were damaged
329 repeatedly as one interviewee described, “the door of our hut... has been
330 broken three times already. We did have a gardener who affectionately re-
331 paired it, but when it happened the third time he took out the door for good”
332 (Interview 4). Both the Neighborhood Garden and the Public Park Garden
333 experienced issues related to dogs such as feces, urine, and the digging-up of
334 garden beds, as well as dog owners threatening gardeners. Moreover, both
335 gardens experienced issues with litter being left throughout their gardens
336 including drug needles. The combination of litter and dog issues led some
337 gardeners at the Neighborhood Garden to fear contamination of the garden
338 beds and led them to plant flowers instead of edible plants as described by
339 one interviewee: “I had the goal of cultivating vegetables, and also being
340 able to eat it. This idea I have totally discarded due to the circumstances...
341 [because]things get destroyed, dogs are running around, [as well as] the dog’s
342 feces and the garbage ...” (Interview 3). The gardens attempted to miti-
343 gate the issue through activities such as using locks, repairing broken items,
344 working with raised beds, and installing additional trash cans.

345 All three gardens experienced difficulties with communication, particu-
346 larly intraorganization communication. Interviewees from two gardens (Neigh-
347 borhood Garden and Social Enterprise Garden) mentioned that it was dif-
348 ficult to get members to attend meetings, which were a major source of
349 information within the gardens. Interviewees also mentioned disagreements
350 among members over what information needed to be shared (Public Park
351 Garden and Social Enterprise Garden). All of the gardens had issues with
352 the volunteer nature of their members and thus delays in reading and re-

353 sponding to emails. As one interviewee from the Neighborhood Garden ex-
354 plained, “[w]e use emails and plenums as means of communication, but we
355 have people who do not use them. Some of them do not want to use them
356 and others, especially older people, do not want to learn how to use emails
357 anymore” (Interview 1). Interviewees from both the Public Park Garden and
358 the Social Enterprise Garden commented that finding the right balance in
359 terms of amount of information to provide members was difficult with some
360 commenting that too much information as overwhelming and too little led to
361 complaints. Communication issues led to lower productivity (Neighborhood
362 Garden and Social Enterprise Garden), the discouragement of new members
363 (Public Park Garden and Social Enterprise Garden), and tensions among
364 members (Public Park Garden and Social Enterprise Garden). As one in-
365 terviewee stated, “[c]ommunication is definitely a problem because certain
366 things failed because people did not communicate” (Interview 8). However,
367 interviewees commented that communication is improving in the Public Park
368 Garden and the Social Enterprise Garden and both gardens were able to use
369 their more strict organizational structure to organize their communication.

370 Interviewees from two gardens, the Neighborhood Garden and the Public
371 Park Garden, reported interpersonal problems as a major barrier for their
372 garden. Both gardens had difficulty managing and coping with people with
373 mental health conditions and those that the interviewees felt were not com-
374 plying with the groups’ aims. Both gardens were generally open to whoever
375 wished to be involved in the garden, although the Neighborhood Garden was
376 more so with a loose organizational structure whereas the Public Park Gar-
377 den had more control over membership as new members had to apply for
378 a garden bed. Nevertheless, for the Public Park Garden the issue led to a
379 crisis that almost destroyed the garden with members threatening to leave
380 if it was not handled. This situation ultimately led to most of the manage-
381 ment board resigning, the offending individuals excluded, and several other
382 members voluntarily leaving. As one interviewee from the Public Park Gar-
383 den explained, “[t]here were people who had psychological difficulties and
384 the managing committee had problems to show those people limits. This
385 led to disputes among the board that then later resigned.” (Interview 5).
386 The Neighborhood Garden, moreover, had frustrated members and potential
387 new members scared away. The gardens used communication, workshops,
388 and mediation in an attempt to deal with this barrier, however, individuals
389 leaving either voluntarily (Neighborhood Garden) or being expelled (Pub-
390 lic Park Garden) was the ongoing solution in both gardens. However, the

391 Social enterprise Garden appeared to have mostly avoided this barrier by
392 conducting interviews and one-day work trials. They were able to do this
393 due to the strict organizational structure they decided upon when facing the
394 organizational structure barrier.

395 *Participation and garden long term sustainability*

396 The last type of barriers, participation, was the result of the frustration
397 and disenchantment of members of the three gardens because of the primary
398 and secondary barriers. The regime had the least direct influence on this
399 barrier and instead was only able to mitigate the barrier through promoting
400 the garden to potential new members.

401 Firstly, all three gardens faced issues with retaining members as a result
402 of the frustration from the previously described barriers. One interviewee
403 explained, “I did not have the time and nerves any longer to be active in
404 the garden because of the numerous problems” (Interview 3). For both the
405 Neighborhood Garden and the Public Park Garden; a major cause of mem-
406 bers leaving was the low quality space and the resulting associated problems,
407 specifically the regular damage to the gardens. The Neighborhood Garden
408 also lost members due to its long hunt for a space and multiple moves and was
409 experiencing a decline in membership at the time of the interviews. Issues
410 of time commitment have caused a loss of members in both the Public Park
411 Garden and the Social Enterprise Garden. In the Public Park Garden it had
412 done so in terms of the time commitment required to take care of the garden
413 beds and participate in the organization. In the Social Enterprise Garden it
414 had done so in terms of overwork of its members on the many events and
415 workshops that the garden undertakes to deal with the financial barrier.

416 Secondly, in order to mitigate the loss of members, the UCGs can recruit
417 new members to replace those lost. The Public Park Garden experienced
418 fewer issues with the participation barriers. This is because even though
419 members were leaving, enough new people were interested in the garden
420 to replace them. However, both the Neighborhood Garden and the Social
421 Enterprise Garden faced issues in convincing new people to join their gardens
422 as a result of the barriers. For the Neighborhood Garden this was largely the
423 result the lack of appeal of the garden because of the soil issues and damage
424 done to the garden. Interviewees commented that the garden had to solve
425 its other problems before tackling the issue of recruiting new members. The
426 Social Enterprise Garden, on the other hand, had issues in recruiting enough
427 new members to carry out all of its planned tasks resulting in overworked

428 members. While the Neighborhood Garden did not actively work to recruit
429 new members, both the Public Park Garden and Social Enterprise Garden
430 conducted activities such as workshops and events to reach out to potential
431 new members. However, even though new members could replace those that
432 left, the loss of the knowledge and experience that those leaving take with
433 them could negatively affect the gardens. Moreover, on top of these issues
434 in recruiting new members, interviewees also mentioned that interest in the
435 gardens has decreased with age. “In the beginning of the project... there
436 were numerous people who wanted to join and help. In the second season
437 however the number of people involved declined” (Interview 8).

438 The barriers of participation can directly influence the longer term sus-
439 tainability of the UCGs. This is because while other barriers, such as space,
440 make it difficult to do urban gardening, solutions can often be found at least
441 temporarily to mitigate those barriers. Moreover, even if a temporary miti-
442 gation measure cannot be found, with participants the garden can network,
443 lobby, raise awareness, etc. until a solution is found. However, without mem-
444 bers the garden no longer exists and the barriers cannot be overcome. One
445 interviewee summed up this argument when asked how important it was to
446 solve the issue of theft in the garden: “[i]t would be extremely important as
447 people are leaving the garden because of it, it is threatening our existence”
448 (Interview 5).

449 5. Discussion and conclusion

450 Our analysis shows that primary barriers lead to secondary barriers to
451 urban community gardening. Both the primary and secondary barriers cause
452 the participation barriers which threaten the longer term sustainability of the
453 gardens. This has the potential to threaten any positive transitions related
454 to the UCGs. This is because the garden would need to advocate for their
455 vision of an urban landscape in order to change the regime. Thus, because
456 resource dependence and the primary barriers are the cause of those problems
457 (as shown in figure 1), organizations seeking to help gardens should focus on
458 these two issues. Such assistance would not only address the causes, but also
459 potentially increase the long term sustainability of the UCGs. As changing
460 the regime is the very definition of a transition [Geels and Schot (2007)] this
461 remains the goal.

462 The regime and regime actors, moreover, play a role as one of the causes
463 of the barriers to urban community gardening, as well as a role in the miti-

464 gation of many of these barriers (table 3). The UCGs were most successful
465 in reducing the primary barrier finance through means that mostly involved
466 reducing their dependence on the regime, for example through raising their
467 own funds. The UCGs were less successful in reducing their space and or-
468 ganizational barriers. However, since it is the regime that is the cause of
469 the primary barriers through resource dependence, it can be presumed that
470 the regime actors may be able to reduce some of their effects. For example,
471 to mitigate the space barrier municipalities could improve the situation for
472 gardens by decreasing the rules on the spaces provided to the gardens by
473 municipalities. Such activities would have the additional benefit of reducing
474 related secondary barriers. To address the resource dependency and primary
475 barriers, regime actors can:

- 476 1. Encourage UCGs to diversify their means of acquiring resources. This
477 could be done, for example, by facilitating networking events or using
478 grant money to encourage gardens to think about how they will deal
479 with resources.
- 480 2. Make available permanent spaces to UCGs. Finding permanent space
481 was difficult for the gardens and making more permanent spaces avail-
482 able to the gardens would increase their longer term sustainability. It
483 would also encourage them to spend time and money upgrading those
484 spaces.
- 485 3. Making it easier to apply for and maintain a legal form of organization
486 would lessen this burden for the UCGs. It would also make it easier
487 for the UCGs to acquire a legal form that would allow them to collect
488 money.

489 In the interest of saving effort and resources, this analysis suggests that
490 gardens themselves should focus on the primary barriers as it is these barriers
491 that influence the barriers that follow. Moreover, the findings indicate that
492 the gardens should be cautious in the way they address the barriers as this
493 has repercussions for the later barriers in the causality chain. The findings
494 of this article indicate which barriers a new garden is likely to face and a list
495 of attempted solutions by the case study gardens, allowing those interested
496 in founding a garden to make a better informed decisions.

497 Additionally, this article suggests that regimes and resource dependence
498 on regimes play an important role in barriers to transitions both in terms of
499 causing the barriers and mitigating them. However, while the barriers located

500 in this article were at least indirectly related to the regime, future research
501 may find other causes of barriers to UCGs such as internal group dynamics
502 or conflicts between political agendas. Moreover, though this analysis has
503 focused on UCGs in particular, a similar analysis could be completed for
504 other types of community-based initiatives to explore similarities between
505 the barriers experienced by the different types of initiatives.

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